

Vulnerable Client Declaration Form

容易受損客戶聲明書

Client Name (“Client”): 客戶名稱 (“客戶”):	
Account No: 賬戶號碼:	

In order to protect your interests in purchasing investment products, Eddid Securities and Futures Limited (“EDDID”) has conducted an assessment on whether you are classified as a vulnerable client (“VC”) or not.

為保障閣下購買投資產品的利益，艾德證券期貨有限公司（「艾德」）已就閣下是否被歸類為容易受損客戶（「容易受損客戶」）進行評估。

Based on our assessment, you are classified as a VC. However, in view of your investment experience and background, we will assess your suitability on investing in particular investment products (where applicable) based on your Investment Risk Profile obtained from the Risk Profiling Questionnaire (“RPQ”).

根據我們的評估，閣下被歸類為容易受損客戶。然而，考慮到閣下的投資經驗及背景，我們將根據閣下從客戶風險評估問卷（「客戶風險評估問卷」）中評估獲得的投資風險取向，評估閣下是否適合投資於特定投資產品（如適用）。

This declaration form applies to all accounts of which I am / We are the beneficial owner.

此聲明書適用於所有本人/吾等屬實益擁有人的賬戶。

By signing on this form, I /We confirm that:

通過在此聲明書上簽署，本人/吾等確認：

1. I /We agree to be treated as a VC by EDDID;
本人/吾等同意艾德將本人/吾等歸類為容易受損客戶；
2. I am mentally capacitated to make independent investment decisions, despite my age and/or other vulnerable conditions (including any observable disabilities) in making investment decision;
於作出投資決定時，本人在精神上有能力做出獨立的投資決策，儘管考慮到本人的年齡及/或其他被視作容易受損客戶的狀況（包括任何明顯的殘疾）；
3. the suitability assessment for my investments transacted in this Account will be based on the Investment Risk Profile obtained from the assessment result of the RPQ;
本人在此賬戶中所進行的投資，將按照客戶風險評估問卷評估結果中獲得的投資風險取向進行合適性評估；
4. notwithstanding my age and/or other vulnerable conditions, I will be able to deal in investment products which may involve higher risks (including the possibility of losses exceeding the amount invested); and
本人將能夠就可能涉及較高風險的投資產品（包括損失超過投資金額的可能性）進行交易，儘管考慮到本人的年齡及/或其他被視作容易受損客戶的狀況；及
5. I am not required to bring along a companion during the sales process for investment products and placing orders.
本人在投資產品銷售和下單過程中，無須攜伴。

<p>Client signature:</p> <p>客戶簽署：</p>	
<p>Name:</p> <p>客戶姓名：</p>	
<p>Date :</p> <p>日期：</p>	

Witness Declaration:
見證人聲明：

I have witnessed that the below Licensed Staff has explained to the Client the general investment risks and agreed that the Client is mentally capacitated to make independent investment decisions, despite the Client's age and/or other vulnerable conditions.

在本人見證下，下述持牌職員已向客戶解釋一般投資風險，並同意客戶在精神上有能力做出獨立的投資決定，儘管客戶的年齡及/或其他被視作容易受損客戶的情況。

Licensed Staff Name and SFC CE Number: 持牌職員姓名及 SFC 中央編號：	
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Face-to-face 親身會談		Video Conference call 視像會議	
Witness signature 見證人簽署：		Time: 時間：	
Witness Name 見證人姓名：		Date: 日期：	